
AVAILABLE UNIVERSITY FUND ADDITIONAL REPORTING REQUIREMENTS

December 2023



Prepared by
The University of Texas System
Office of Budget and Planning

Report to the Legislative Budget Board and Governor
Pursuant to Rider No. 6 to Available University Fund Appropriations
HB 1, 88th Legislature, Regular Session, Page III-73

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RIDER NO. 6 TO AVAILABLE UNIVERSITY FUND APPROPRIATION

The text of Rider No. 6 to the Available University Fund Appropriation in House Bill 1, 88th Legislature, Regular Session, is as follows:

“Reporting Requirements for System Office Operations and System Initiatives.

In addition to the reporting requirements in Rider 5, The University of Texas System and Texas A&M University System shall report to the Legislative Budget Board no later than December 1 of each fiscal year additional information regarding the use of the Available University Fund for system office operations and system initiatives for the two previous fiscal years, the current fiscal year, and two fiscal years (projected). The report shall include the following:

- (1) Available University Fund support and maintenance allocations and expenditures for system office operations and system initiatives by activity (which must include an activity for the Board of Regents), including the object of expense detail for each activity, the number of full-time equivalent (FTEs) funded by the Available University Fund in each activity, a detailed description of the purpose and authority for each activity, and a reconciliation between Available University Fund allocations and expenditures each fiscal year including the resulting Available University Fund surplus or deficit;
- (2) A detailed listing of the role and function of any FTEs included in the Board of Regents activity;
- (3) A listing of funds outside of the Available University Fund, including gifts, donations, and other funds outside the treasury, used for each activity each fiscal year; and
- (4) Any additional information requested by the Legislative Budget Board. “

USES OF THE AVAILABLE UNIVERSITY FUND BY THE UNIVERSITY OF TEXAS SYSTEM

BACKGROUND

The *Texas Constitution* (Article VII, Section 18) gives The University of Texas System Board of Regents (Board of Regents) the authority to determine the amount distributed to the Available University Fund (AUF) from the return on investment assets of the Permanent University Fund (PUF), subject to specific constitutional restrictions. The *Texas Constitution* requires that the AUF be appropriated for the payment of the principal and interest due on the bonds backed by the PUF and for the support and maintenance of The University of Texas at Austin and The University of Texas System Administration. “Support and maintenance” is an expansive phrase that describes funding for U. T. Austin and the U. T. System Administration to function and carry out their respective responsibilities. System Administration responsibilities are provided by general law. An AUF expenditure that benefits institutions other than U. T. Austin qualifies as support and maintenance of U. T. System Administration if it reasonably furthers a responsibility of U. T. System Administration, such as providing oversight and coordination of institutional activities or providing services to U. T. System institutions. The AUF may not be used for routine programmatic or operating expenses of institutions other than U. T. Austin.

USES OF THE AVAILABLE UNIVERSITY FUND BY U. T. SYSTEM

The AUF is used for four primary purposes:

- (1) to pay interest and principal due on PUF bonds,
- (2) to provide for academic excellence and other support for U. T. Austin
- (3) to provide for the expenses of U. T. System Administration, and
- (4) to fund U. T. System initiatives.

PUF Debt Service

Under the *Texas Constitution*, the first call on AUF funds is debt service on PUF bonds that provide capital improvements, equipment and library books or materials for the System’s institutions and for U. T. System Administration. Capital improvement needs of the institutions are extensively evaluated on an ongoing basis and incorporated into a six-year Capital Improvement Plan.

U. T. Austin

Pursuant to the terms of the *Texas Constitution*, U. T. Austin is the only U. T. System institution other than U. T. System Administration eligible to directly receive resources from the AUF for support and maintenance purposes.

U. T. Austin depends on academic excellence funds from the AUF for needs such as library enhancement; enhancement of academic department operations and the Dell Medical School; specialized science, engineering, and computing equipment; scholarships and fellowships; and support of special units. These special units conduct research, disseminate research and scholarship information to the general public, and provide public service.

U. T. System Administration

Use of AUF to support U. T. System Administration is a constitutional design intended to reduce reliance on general revenue for U. T. System Administration, allowing the legislature to redirect general revenue to other state priorities. The U. T. System Administration depends on funding from the AUF for needs such as major repairs and rehabilitation, equipment, maintenance and operation, salaries and support for units providing assistance to the Board of Regents and the U. T. institutions. U. T. System Administration also administers a shared administrative computing system (ERP) and provides relief from Systemwide shared technology, risk and digital library assessments.

U. T. System Administration is the central administrative office providing leadership and administrative support for System institutions. U. T. System Administration adds value by undertaking certain central responsibilities that result in greater efficiency or higher quality than could be achieved by individual institutions or that fulfill legal requirements. Among the areas requiring more specialized expertise, which can be more efficiently provided by a central administrative unit, are compliance management, internal audit, legal counsel, intellectual property protection, governmental relations, policy planning and development for academic and health-related programs, and various business operation support activities. The business operations include financial reporting, the historically underutilized businesses program, budget, finance, human resources, employee benefits, security, information resources, and real estate. U. T. System Administration also provides support for the development programs of the institutions.

U. T. System Initiatives

The AUF is used to support operational programs at U. T. System Administration and various strategic priorities and initiatives undertaken by the Board of Regents, all of which benefit System institutions and System students. As with the many operational programs provided by System Administration, each of the system initiatives either provides a service across a broad number of institutional campuses or provides oversight and coordination of activities that benefit a broad number of campuses and the students of those campuses. Each of these system initiatives has been reviewed against the applicable constitutional standards to ensure that each is an appropriate expenditure of the AUF. In addition, for each initiative the Board of Regents is asked to make a finding of fact that expenditure of AUF is appropriate under U. T. System Administration's responsibilities to coordinate the activities of the U. T. institutions.

U. T. System has provided appropriate notification to the Legislative Budget Board prior to the Board of Regents taking action on System Initiatives as required by Rider 7 of the AUF appropriation within the General Appropriations Act.

REPORTING AUF SUPPORT AND MAINTENANCE ALLOCATION FOR SYSTEM OFFICE OPERATIONS AND SYSTEM INITIATIVES

The information reported for each activity in both System office operations and System initiatives includes AUF support only. Other non-AUF sources for each activity are listed. U. T. System Administration receives no General Revenue support for System office operations. Each activity includes a detailed description of its purpose and authority.

**AVAILABLE UNIVERSITY FUND
SUPPORT AND MAINTENANCE ALLOCATIONS AND EXPENDITURES
FOR SYSTEM OFFICE OPERATIONS AND SYSTEM INITIATIVES BY ACTIVITY**

Program:	System Office Operations
Activity Name:	Board of Regents
AUF Allocation:	Included in Annual Operating Budget

Description: Education Code Section 65.11 defines the Board of Regents as: "The government of the university system is vested in a board of nine regents appointed by the governor with the advice and consent of the senate. The board may provide for the administration, organization, and names of the institutions and entities in The University of Texas System in such a way as will achieve the maximum operating efficiency of such institutions and entities, provided, however, that no institution or entity of The University of Texas System not authorized by specific legislative act to offer a four-year undergraduate program as of the effective date of this Act shall offer any such four-year undergraduate program without prior recommendation and approval by a two-thirds vote of the Texas Higher Education Coordinating Board and a specific act of the Legislature."

State Authority	Texas Constitution - Article 7, Section 10 & 18
State Authority	Education Code Section 65 & Section 51.353

	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026
	Actual	Actual	Budget	Estimated	Estimated
011 Available University Fund					
AUF Allocations	\$ 1,999,614.93	\$ 2,278,850.48	\$ 2,410,169.00	\$ 2,410,169.00	\$ 2,410,169.00
Expenditures (By Object of Expense)					
1001 Salaries & Wages	1,534,806.75	1,679,432.85	1,836,574.00	1,836,574.00	1,836,574.00
1002 Other Personnel Costs	260,574.27	291,286.46	269,329.20	269,329.20	269,329.20
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	125.00	229.00	-	-	-
2002 Fuel & Lubricants	-	-	-	-	-
2003 Consumable Supplies	9,906.83	5,993.91	12,900.00	12,900.00	12,900.00
2004 Utilities	137.51	191.04	-	-	-
2005 Travel	2,931.74	10,179.22	15,000.00	15,000.00	15,000.00
2006 Rent - Building	-	-	-	-	-
2007 Rent - Machine & Other	-	-	-	-	-
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	191,132.83	291,538.00	276,365.80	276,365.80	276,365.80
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-
Total Expenditures	1,999,614.93	2,278,850.48	2,410,169.00	2,410,169.00	2,410,169.00
Net Annual Activity	-	-	-	-	-
AUF Net Position - Beginning of Year	-	-	-	-	-
Less Lapses	-	-	-	-	-
AUF Net Position - End of Year	\$ -	\$ -	\$ -	\$ -	\$ -
AUF Full-Time-Equivalents (FTEs)	9.91	10.42	10.00	10.00	10.00

Additional Non-AUF Funding: Designated Funds and Endowment Income

Additional Information: None

Program:	System Office Operations
Activity Name:	System Office Operations
AUF Allocation:	Included in Annual Operating Budget

Description: The U.T. System Administration is the central administrative office providing leadership and administrative support for the institutions of the U.T. System. Among the areas requiring more specialized expertise, which can be more efficiently provided by a central administrative unit, are compliance management, internal audit, legal counsel, intellectual property protection, governmental relations, policy planning and development for academic and health related programs, and various business operations support activities. The business operations include financial reporting, historically underutilized business program, budget, finance, human resources, employee benefits, security, planning, and construction of facilities, information resources, and real estate. U.T. System also provides support for the development programs of the institutions. U. T. System Administration also administers a shared administrative computing system (ERP) and provides relief from Systemwide shared technology, risk, and digital library assessments.

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026
011 Available University Fund	Actual	Actual	Budget	Estimated	Estimated
AUF Allocations	\$ 95,310,573.14	\$ 124,875,764.69	\$ 127,779,164.00	\$ 127,779,164.00	\$ 127,779,164.00
Expenditures (By Object of Expense)					
1001 Salaries & Wages	27,789,640.58	30,388,230.34	28,705,494.20	28,705,494.20	28,705,494.20
1002 Other Personnel Costs	3,848,612.31	5,395,734.57	5,096,947.92	5,096,947.92	5,096,947.92
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	4,609,362.50	6,093,250.40	5,755,839.09	5,755,839.09	5,755,839.09
2002 Fuel & Lubricants	196,774.53	233,401.33	220,476.82	220,476.82	220,476.82
2003 Consumable Supplies	62,757.98	61,655.08	58,240.95	58,240.95	58,240.95
2004 Utilities	214,534.24	189,278.35	178,797.14	178,797.14	178,797.14
2005 Travel	229,552.98	353,649.14	334,065.96	334,065.96	334,065.96
2006 Rent - Building	801,504.05	782,486.86	739,156.96	739,156.96	739,156.96
2007 Rent - Machine & Other	45,500.18	102,228.86	96,567.98	96,567.98	96,567.98
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	57,343,520.19	81,253,283.24	86,572,260.07	86,572,260.07	86,572,260.07
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	168,813.60	22,566.52	21,316.91	21,316.91	21,316.91
Total Expenditures	95,310,573.14	124,875,764.69	127,779,164.00	127,779,164.00	127,779,164.00
Net Annual Activity	-	-	-	-	-
AUF Net Position - Beginning of Year	-	-	-	-	-
Less Lapses	-	-	-	-	-
AUF Net Position - End of Year	\$ -	\$ -	\$ -	\$ -	\$ -
AUF Full-Time-Equivalents (FTEs)	207.51	216.93	225.91	225.91	225.91

Additional Non-AUF Funding: Designated Funds, Revolving Funds, Endowment Income and Gift Income

Additional Information: None

Program:	System Initiative
Activity Name:	AUF Online and On-campus Enrollment Growth
AUF Allocation:	\$68,939,116

Description: In August 2014, the U.T. System Board of Regents approved a special one-time distribution from the PUF to the AUF equal to an additional 1.5 percent as a supplement to the original 5.5 percent distribution. The Board instructed the Chancellor to have each of the nine academic institutions develop a proposal over the subsequent six months to utilize these funds in support of online and on-campus enrollment growth with excellence. A total of \$68,939,116 has been designated for the purpose of supporting online and on-campus enrollment growth with excellence at the academic campuses other than U.T. Austin and \$56,400,000 for U.T. Austin. \$47,862,200 was allocated to fund a Competency Based Education program that is aligned and developed by the U.T. System to systematically improve success, access and completion rates in areas of high employment demand. Any usage is subject to the constitutional restrictions on AUF.

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026
	Actual	Actual	Budget	Estimated	Estimated
011 Available University Fund					
AUF Allocations	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures (By Object of Expense)					
1001 Salaries & Wages	-	-	-	-	-
1002 Other Personnel Costs	-	-	-	-	-
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	5,038.47	586.12	-	-	-
2002 Fuel & Lubricants	-	-	-	-	-
2003 Consumable Supplies	-	-	-	-	-
2004 Utilities	-	-	-	-	-
2005 Travel	-	265.81	-	-	-
2006 Rent - Building	-	-	-	-	-
2007 Rent - Machine & Other	-	-	-	-	-
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	-	-	151,738.12	-	-
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-
Total Expenditures	5,038.47	851.93	151,738.12	-	-
Net Annual Activity	(5,038.47)	(851.93)	(151,738.12)	-	-
AUF Net Position - Beginning of Year	157,628.52	152,590.05	151,738.12	-	-
Less Lapses	-	-	-	-	-
AUF Net Position - End of Year	\$ 152,590.05	\$ 151,738.12	\$ -	\$ -	\$ -
AUF Full-Time-Equivalents (FTEs)	-	-	-	-	-

Additional Non-AUF Funding: None

Additional Information: None

Program:	System Initiative
Activity Name:	CONAHCYT - National Council of Humanities, Sciences and Technologies
AUF Allocation:	\$10,000,000

Description: Original allocation of \$5 million made in May 2016 and an additional allocation of \$5 million made in November 2019. This is an agreement signed in August 2015 establishing programs of cooperation between Mexico's National Council of Humanities, Sciences and Technologies (CONACYT) and UT System. Programs supported through this agreement include Mexical PhD student education, exchange of postdoctoral fellows, non-degree students and faculty, and collaborative research projects. AUF will only be used to support UT System Administration Staff and program activities at UT Austin.

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026
	Actual	Actual	Budget	Estimated	Estimated
011 Available University Fund					
AUF Allocations	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures (By Object of Expense)					
1001 Salaries & Wages	-	-	-	-	-
1002 Other Personnel Costs	-	-	-	-	-
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	-	-	-	-	-
2002 Fuel & Lubricants	-	-	-	-	-
2003 Consumable Supplies	-	-	-	-	-
2004 Utilities	-	-	-	-	-
2005 Travel	-	-	-	-	-
2006 Rent - Building	-	-	-	-	-
2007 Rent - Machine & Other	-	-	-	-	-
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	876,865.22	1,356,863.58	639,676.51	639,676.51	639,676.51
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-
Total Expenditures	<u>876,865.22</u>	<u>1,356,863.58</u>	<u>639,676.51</u>	<u>639,676.51</u>	<u>639,676.51</u>
Net Annual Activity	<u>(876,865.22)</u>	<u>(1,356,863.58)</u>	<u>(639,676.51)</u>	<u>(639,676.51)</u>	<u>(639,676.51)</u>
AUF Net Position - Beginning of Year	4,152,758.33	3,275,893.11	1,919,029.53	1,279,353.02	639,676.51
Less Lapses	-	-	-	-	-
AUF Net Position - End of Year	<u>\$ 3,275,893.11</u>	<u>\$ 1,919,029.53</u>	<u>\$ 1,279,353.02</u>	<u>\$ 639,676.51</u>	<u>\$ -</u>
AUF Full-Time-Equivalents (FTEs)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>

Additional Non-AUF Funding: None

Additional Information: The expenditures reflect that UT System Administration contracts for these services and are expected to be expensed as 2009-Other Operating Expenses in our financial reports. Transfers supporting activities at UT Austin are also reported as 2009 - Other Operating Expenses.

Program:	System Initiative
Activity Name:	Horizon Fund
AUF Allocation:	\$30,000,000

Description: Multiple allocations to the Horizon fund which is intended to be an evergreen venture fund providing resources to high quality technology commercialization prospects with potentially strong commercial application.

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

011 Available University Fund	FY 2022 Actual	FY 2023 Actual	FY 2024 Budget	FY 2025 Estimated	FY 2026 Estimated
AUF Allocations	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures (By Object of Expense)					
1001 Salaries & Wages	-	-	-	-	-
1002 Other Personnel Costs	-	-	-	-	-
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	-	-	-	-	-
2002 Fuel & Lubricants	-	-	-	-	-
2003 Consumable Supplies	-	-	-	-	-
2004 Utilities	-	-	-	-	-
2005 Travel	-	-	-	-	-
2006 Rent - Building	-	-	-	-	-
2007 Rent - Machine & Other	-	-	-	-	-
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	243,278.72	595,066.61	-	-	-
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-
Total Expenditures	<u>243,278.72</u>	<u>595,066.61</u>	<u>-</u>	<u>-</u>	<u>-</u>
Net Annual Activity	<u>(243,278.72)</u>	<u>(595,066.61)</u>	<u>-</u>	<u>-</u>	<u>-</u>
AUF Net Position - Beginning of Year	10,053,607.74	9,810,329.02	9,215,262.41	9,215,262.41	9,215,262.41
Less Lapses	-	-	-	-	-
AUF Net Position - End of Year	<u>\$ 9,810,329.02</u>	<u>\$ 9,215,262.41</u>	<u>\$ 9,215,262.41</u>	<u>\$ 9,215,262.41</u>	<u>\$ 9,215,262.41</u>
AUF Full-Time-Equivalents (FTEs)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>

Additional Non-AUF Funding: None

Additional Information: At the end of FY 2023, the UTHF had made \$23.6 million in investments and generated \$8.5 million in gross proceeds. The portfolio appreciation is \$(3.4) million and total fair value is \$10.1 million. No future investment activity is presented as investments are only made when opportunities arise and not on a fixed schedule.

Program:	System Initiative
Activity Name:	Information Security
AUF Allocation:	\$35,922,000

Description: Allocation in FY 2012 to bolster information security compliance across the U.T. System (\$34,872,000) and in FY 2014 to secure the U.T. System Cyber-infrastructure (\$1,050,000).

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026
	Actual	Actual	Budget	Estimated	Estimated
011 Available University Fund					
AUF Allocations	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures (By Object of Expense)					
1001 Salaries & Wages	-	-	-	-	-
1002 Other Personnel Costs	-	-	-	-	-
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	-	-	-	-	-
2002 Fuel & Lubricants	-	-	-	-	-
2003 Consumable Supplies	-	-	-	-	-
2004 Utilities	-	-	-	-	-
2005 Travel	-	-	-	-	-
2006 Rent - Building	-	-	-	-	-
2007 Rent - Machine & Other	-	-	-	-	-
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	413,953.50	114,696.00	626,018.54	626,018.54	626,018.54
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-
Total Expenditures	<u>413,953.50</u>	<u>114,696.00</u>	<u>626,018.54</u>	<u>626,018.54</u>	<u>626,018.54</u>
Net Annual Activity	(413,953.50)	(114,696.00)	(626,018.54)	(626,018.54)	(626,018.54)
AUF Net Position - Beginning of Year	2,406,705.12	1,992,751.62	1,878,055.62	1,252,037.08	626,018.54
Less Lapses	-	-	-	-	-
AUF Net Position - End of Year	<u>\$ 1,992,751.62</u>	<u>\$ 1,878,055.62</u>	<u>\$ 1,252,037.08</u>	<u>\$ 626,018.54</u>	<u>\$ -</u>
AUF Full-Time-Equivalents (FTEs)	-	-	-	-	-

Additional Non-AUF Funding: None

Additional Information: None

Program:	System Initiative
Activity Name:	PeopleSoft Remediation
AUF Allocation:	\$21,400,000

Description: The Board of Regents allocated \$21,400,000 FY 2015 AUF funds for expenses associated with system wide (U.T. Share) sponsored projects data clean up and reconciliation, PeopleSoft remediation, IT security review and providing functional business processes. The Board of Regents initial allocation of \$1,900,000 in May 2015 was complemented with a \$19,500,000 allocation in August 2015.

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

	FY 2022 Actual	FY 2023 Actual	FY 2024 Budget	FY 2025 Estimated	FY 2026 Estimated
011 Available University Fund					
AUF Allocations	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures (By Object of Expense)					
1001 Salaries & Wages	-	-	-	-	-
1002 Other Personnel Costs	-	-	-	-	-
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	-	-	-	-	-
2002 Fuel & Lubricants	-	-	-	-	-
2003 Consumable Supplies	-	-	-	-	-
2004 Utilities	-	-	-	-	-
2005 Travel	-	-	-	-	-
2006 Rent - Building	-	-	-	-	-
2007 Rent - Machine & Other	-	-	-	-	-
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	-	-	1,373,937.22	-	-
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-
Total Expenditures	-	-	1,373,937.22	-	-
Net Annual Activity	-	-	(1,373,937.22)	-	-
AUF Net Position - Beginning of Year	1,373,937.22	1,373,937.22	1,373,937.22	-	-
Less Lapses	-	-	-	-	-
AUF Net Position - End of Year	<u>\$ 1,373,937.22</u>	<u>\$ 1,373,937.22</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
AUF Full-Time-Equivalents (FTEs)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>

Additional Non-AUF Funding: None

Additional Information: None

Program:	System Initiative
Activity Name:	Productivity and Excellence Framework
AUF Allocation:	\$6,500,000

Description: Allocation in FY 2012 (\$6.5 million) for establishment of a dashboard allowing real time snapshots of productivity measures across the U.T. System.

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026
	Actual	Actual	Budget	Estimated	Estimated
011 Available University Fund					
AUF Allocations	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures (By Object of Expense)					
1001 Salaries & Wages	62,073.00	-	62,073.00	62,073.00	62,073.00
1002 Other Personnel Costs	2,912.36	-	2,912.36	2,912.36	2,912.36
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	-	-	-	-	-
2002 Fuel & Lubricants	-	-	-	-	-
2003 Consumable Supplies	-	-	-	-	-
2004 Utilities	-	-	-	-	-
2005 Travel	-	684.07	684.07	684.07	684.07
2006 Rent - Building	-	-	-	-	-
2007 Rent - Machine & Other	-	-	-	-	-
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	3,873.79	-	6,670.62	6,670.62	6,670.61
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-
Total Expenditures	68,859.15	684.07	72,340.05	72,340.05	72,340.04
Net Annual Activity	(68,859.15)	(684.07)	(72,340.05)	(72,340.05)	(72,340.04)
AUF Net Position - Beginning of Year	286,563.36	217,704.21	217,020.14	144,680.09	72,340.04
Less Lapses	-	-	-	-	-
AUF Net Position - End of Year	\$ 217,704.21	\$ 217,020.14	\$ 144,680.09	\$ 72,340.04	\$ -
AUF Full-Time-Equivalents (FTEs)	1.30	-	1.30	1.30	1.30

Additional Non-AUF Funding: None

Additional Information: None

Program:	System Initiative
Activity Name:	Public Health
AUF Allocation:	\$5,000,000

Description: Allocation in FY 2015 (\$5,000,000) to develop initiatives to improve the health of Texas. This funding will support the development and implementation of a sustainable population health strategic plan to identify and assess current conditions and assets, provide analytical expertise, and support collaborative efforts throughout Texas. This effort embodies the mission of academic medicine to improve health.

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026
	Actual	Actual	Budget	Estimated	Estimated
011 Available University Fund					
AUF Allocations	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures (By Object of Expense)					
1001 Salaries & Wages	-	-	-	-	-
1002 Other Personnel Costs	-	-	-	-	-
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	980.10	165.00	165.00	165.00	-
2002 Fuel & Lubricants	-	-	-	-	-
2003 Consumable Supplies	718.26	2,418.76	2,418.76	2,418.76	-
2004 Utilities	-	-	-	-	-
2005 Travel	-	-	-	-	-
2006 Rent - Building	150.00	-	-	-	-
2007 Rent - Machine & Other	-	-	-	-	-
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	8,290.32	10,174.16	125,452.80	125,452.80	-
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-
Total Expenditures	10,138.68	12,757.92	128,036.56	128,036.56	-
Net Annual Activity	(10,138.68)	(12,757.92)	(128,036.56)	(128,036.56)	-
AUF Net Position - Beginning of Year	278,529.66	268,831.04	256,073.12	128,036.56	-
Less Lapses	440.06	-	-	-	-
AUF Net Position - End of Year	\$ 268,831.04	\$ 256,073.12	\$ 128,036.56	\$ -	\$ -
AUF Full-Time-Equivalents (FTEs)	-	-	-	-	-

Additional Non-AUF Funding:	Designated Funds, Gifts
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Additional Information: Lapse activity relates to a budget transfer made in error.

Program:	System Initiative
Activity Name:	Spend Analytics
AUF Allocation:	\$7,000,000

Description: Funding approved May 2015 to develop a system to capture and analyze spend data from the U.T. System Supply Chain Alliance participants in an effort to improve savings from Alliance group purchasing.

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026
	Actual	Actual	Budget	Estimated	Estimated
011 Available University Fund					
AUF Allocations	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures (By Object of Expense)					
1001 Salaries & Wages	-	-	-	-	-
1002 Other Personnel Costs	-	-	-	-	-
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	-	-	-	-	-
2002 Fuel & Lubricants	-	-	-	-	-
2003 Consumable Supplies	-	-	-	-	-
2004 Utilities	-	-	-	-	-
2005 Travel	-	-	-	-	-
2006 Rent - Building	-	-	-	-	-
2007 Rent - Machine & Other	-	-	-	-	-
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	439,400.72	308,696.97	483,350.23	483,350.23	483,350.23
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-
Total Expenditures	<u>439,400.72</u>	<u>308,696.97</u>	<u>483,350.23</u>	<u>483,350.23</u>	<u>483,350.23</u>
Net Annual Activity	(439,400.72)	(308,696.97)	(483,350.23)	(483,350.23)	(483,350.23)
AUF Net Position - Beginning of Year	2,198,148.38	1,758,747.66	1,450,050.69	966,700.46	483,350.23
Less Lapses	-	-	-	-	-
AUF Net Position - End of Year	<u>\$ 1,758,747.66</u>	<u>\$ 1,450,050.69</u>	<u>\$ 966,700.46</u>	<u>\$ 483,350.23</u>	<u>\$ -</u>
AUF Full-Time-Equivalents (FTEs)	-	-	-	-	-

Additional Non-AUF Funding: None

Additional Information: None

Program:	System Initiative
Activity Name:	Virtual Health Care Network
AUF Allocation:	\$10,800,000

Description: Allocation in FY 2016 to support a new U. T. System Virtual Health Care Network Infrastructure, including the implementation of a pilot telemedicine project across the U. T. System health institutions.

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026
	Actual	Actual	Budget	Estimated	Estimated
011 Available University Fund					
AUF Allocations	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures (By Object of Expense)					
1001 Salaries & Wages	-	-	-	-	-
1002 Other Personnel Costs	-	-	-	-	-
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001 Professional Fees & Svcs	-	-	-	-	-
2002 Fuel & Lubricants	-	-	-	-	-
2003 Consumable Supplies	-	-	-	-	-
2004 Utilities	-	-	-	-	-
2005 Travel	-	-	-	-	-
2006 Rent - Building	-	-	-	-	-
2007 Rent - Machine & Other	-	-	-	-	-
2008 Debt Service	-	-	-	-	-
2009 Other Operating Expense	1,751,090.11	559,034.80	1,260,178.15	1,260,178.15	1,260,178.15
3001 Client Services	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-
Total Expenditures	<u>1,751,090.11</u>	<u>559,034.80</u>	<u>1,260,178.15</u>	<u>1,260,178.15</u>	<u>1,260,178.15</u>
Net Annual Activity	(1,751,090.11)	(559,034.80)	(1,260,178.15)	(1,260,178.15)	(1,260,178.15)
AUF Net Position - Beginning of Year	6,745,659.36	4,994,569.25	3,780,534.45	2,520,356.30	1,260,178.15
Less Lapses	-	(655,000.00)	-	-	-
AUF Net Position - End of Year	<u>\$ 4,994,569.25</u>	<u>\$ 3,780,534.45</u>	<u>\$ 2,520,356.30</u>	<u>\$ 1,260,178.15</u>	<u>\$ -</u>
AUF Full-Time-Equivalents (FTEs)	-	-	-	-	-

Additional Non-AUF Funding: Designated Funds.

Additional Information: In FY2023, several delayed invoices for services dates from December 2019 to August 2020 could no longer be paid through USAS against these funds. A funding swap was needed from designated funds to cover the invoices and in turn reduced this funding by the same amount of \$655,000.

Program:	System Initiative
Activity Name:	Regents' Research Excellence Program
AUF Allocation:	\$55,000,000

Description: In August 2023, the University of Texas System Board of Regents approved this initiative to boost its national research prominence and the state's economy. The funding will support the U.T. System Regents' Research Excellence Program, which will enhance the U.T. System's global research competitiveness. The new initiative will provide funding for faculty and postdoctoral researcher salaries and benefits to accelerate the rate of growth of high-quality research by the U.T. System. The initiative will establish U.T. System communities of practice and participating faculty will actively collaborate with U.T. System institutions. The inaugural phase of the Regents' Research Excellence Program will support an estimated 165 FTE faculty and postdoc hires at U.T. System Administration in support of its research mission. The budget includes an estimated 25 hires (12.5 FTE) beginning in the Spring semester of the first year, increasing to 165 FTE in the third year.

State Authority	Texas Constitution - Article 7, Section 18
State Authority	Education Code Section 65 & Section 51.353

011 Available University Fund	FY 2022 Actual	FY 2023 Actual	FY 2024 Budget	FY 2025 Estimated	FY 2026 Estimated
AUF Allocations	\$ -	\$ -	\$ 55,000,000.00	\$ -	\$ -
Expenditures (By Object of Expense)					
1001 Salaries & Wages					
1002 Other Personnel Costs					
1005 Faculty Salaries (Higher Ed only)			1,783,250.00	17,119,200.00	23,538,900.00
1010 Prof Salaries - Faculty Equivalent					
2001 Professional Fees & Svcs					
2002 Fuel & Lubricants					
2003 Consumable Supplies					
2004 Utilities					
2005 Travel					
2006 Rent - Building					
2007 Rent - Machine & Other					
2008 Debt Service					
2009 Other Operating Expense			527,674.00	5,065,674.00	6,965,302.00
3001 Client Services					
5000 Capital Expenditures					
Total Expenditures	-	-	2,310,924.00	22,184,874.00	30,504,202.00
Net Annual Activity	-	-	52,689,076.00	(22,184,874.00)	(30,504,202.00)
AUF Net Position - Beginning of Year		-	-	52,689,076.00	30,504,202.00
Less Lapses					
AUF Net Position - End of Year	\$ -	\$ -	\$ 52,689,076.00	\$ 30,504,202.00	\$ -
AUF Full-Time-Equivalents (FTEs)			12.50	120.00	165.00

Additional Non-AUF Funding:	Designated Funds
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Additional Information: The budget corresponds to the initiative notification that was submitted to LBB and the actual spending pattern and FTEs may differ once the program gets going.

**DETAILED LISTING OF THE ROLE AND FUNCTION OF ANY FTEs INCLUDED IN THE
BOARD OF REGENTS ACTIVITY**

**REPORT ON POSITIONS WITH ROLES AND FUNCTION
FOR THE OFFICE OF THE BOARD OF REGENTS
THE UNIVERSITY OF TEXAS SYSTEM**

Title	Role and Function	FTE
General Counsel to the Board of Regents	<ul style="list-style-type: none"> • Principal staff officer to each member of the Board of Regents (Board) in the discharge of his or her responsibilities. • Provides legal counsel to the Board on issues such as open meetings, public information, and compliance with state statutes and UT System rules and policies on ethics, disclosure, and standards of conduct. • Ensures the Board complies with all statutes, rules, and policies involving open meetings, public information, and standards of conduct. • Advises the Board regarding the design and implementation of rules, policies, and procedures by which the Board may more effectively fulfill its responsibilities regarding the governance and management of the UT System. • Supervises the Office of the Board of Regents and the UT System Audit Office, which reports to the Board. • Reviews and approves the travel and entertainment expenditures of the Chancellor and members of the Board of Regents to ensure all expenditures comply with state statutes and state and UT System rules and policies and that all expenditures are reasonable, serve the mission of the UT System, and are not made for a private purpose. • Provides counsel to the Board and executive officers of the UT System during presidential searches and administers Chancellor searches for the Board. 	1
Senior Associate General Counsel to the Board of Regents	<ul style="list-style-type: none"> • Provides advice and counsel to the Board of Regents regularly and works independently on significant policy and process issues. • Provides legal, operational, and highly complex expertise to support members of the Board of Regents concerning UT System governance, operations, and policy. • Provides advice and counsel to chairs of standing committees of the Board of Regents and the chairs of task forces established by the Board Chairman. Coordinates the work of the committees or task forces, while ensuring that responses to information requests are complete, accurate, and responsive. • Oversees the development and maintenance of the Regents' <i>Rules and Regulations</i> and provides input concerning the policies of the UT System and UT System Administration. Also addresses questions raised by faculty and staff as to interpretations of those rules and policies. • Provides legal opinions primarily in the area of open meetings, public information, standards of conduct, and conflicts of interest. • Assists the General Counsel in ensuring compliance with the Open Meetings Act, the Texas Public Information Act, and that members of the Board comply with all applicable statutes, rules, and policies. • Reviews information prepared for Board meetings to ensure information is clear, succinct, and complete. • Works with the Office of Governmental Relations to review proposed legislation and assist in preparing fiscal impact statements. • Ensures that procurements made on behalf of the Board comply with state procurement statutes, UT System policies, and best practices. • Oversees the budget and expenditures of the Board Office. • Assists Regents, Chancellor, and Presidents with State financial disclosure • Supervises the administrative staff for the Board Office. 	2

Title	Role and Function	FTE
Executive Secretary to the Board	<ul style="list-style-type: none"> Supervises, under the direction of the Senior Associate General Counsel, the collection and preparation of all information submitted by the UT institutions to be used in the meetings of the Board. Records and prepares the official minutes and certified agendas of closed sessions of the Board and its committees. Maintains the official copy of the Minutes of the Board and its committees and prepares certified excerpts from these Minutes. Oversees the filing of all official documents, correspondence, and proceedings of the Board and its committees. Oversees research of actions taken by the Board at the request of staff at the UT institutions and members of the public 	1
Senior Associate to the General Counsel to the Board	<ul style="list-style-type: none"> Provides professional and administrative support for members of the Board and General Counsel to the Board. Serves as primary liaison with the UT System administrative staff. 	1
Associate to the General Counsel to the Board	<ul style="list-style-type: none"> Provides professional and administrative support to the Associate General Counsels to the Board. Serves as Assistant Secretary to the Board Conducts research of actions taken by the Board at the request of staff at the UT institutions and members of the public. 	2
Manager Board Services	<ul style="list-style-type: none"> Oversees the production of live webcasts of all Board meetings and ensures the webcasts comply with state statutes. Oversees the maintenance of digital agenda books used for all meetings of the Board. Provides individualized technical computer support to the members of the Board and Board Office staff on a 24/7 basis. Develops and maintains information systems and databases used by the Office of the Board of Regents. 	1
Assistant to the General Counsel to the Board	<ul style="list-style-type: none"> Provides administrative services related to the operations of the department. Reconciles budgetary accounts. 	1
Administrative Associate	<ul style="list-style-type: none"> Serves as receptionist. Serves as primary liaison to Facilities, Department Safety and Notary Public. Provides administrative services such as the filing of office documents. Assists with other office duties related to preparing for meetings of the Board of Regents and other projects. 	1
Deputy General Counsel to the Board of Regents	This position has similar duties as the General Counsel to the Board of Regents and is established as part of succession planning and a transition process as of 9/1/2023.	.5
Total FTEs		10.5